

TAX ADVISORY AND FINANCIAL PLANNING SERVICES AGREEMENT

This Agreement is entered into and made effective as of _____, 20____, by and between:

Service Provider: _____, with a principal place of business at _____ (hereinafter referred to as the "Advisor"),

and

Client: _____, residing at or with a principal place of business at _____ (hereinafter referred to as the "Client").

WHEREAS, the Client desires to retain the Advisor to perform tax planning, advisory, and financial planning services, and the Advisor agrees to perform these services under the terms and conditions set forth in this Agreement.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained herein, the parties agree as follows:

1. SCOPE OF SERVICES

The Advisor agrees to provide the Client with the following services:

1. Tax planning, including analysis of financial decisions, transaction structures, and potential tax liabilities.
2. Financial planning and advisory services, including retirement planning, investment policy review, and cash flow analysis as mutually agreed.
3. Preparation of tax returns and related filings as specifically listed here: _____.
4. Other consulting services as requested by the Client and agreed to by the Advisor.

2. FEES AND COMPENSATION

The Client agrees to compensate the Advisor for services rendered under this Agreement as follows:

1. **Hourly Rate / Flat Fee:** Services will be billed at an hourly rate of \$_____ per hour, or a flat fee of \$_____.
2. **Retainer:** A non-refundable retainer fee of \$_____ is required upon execution of this Agreement, to be applied against final billings.
3. **Billing and Payment:** Invoices will be issued _____. Payment is due within _____ days of the invoice date. Late payments shall be subject to an interest charge of _____% per month.

3. CLIENT RESPONSIBILITIES

The Client shall provide the Advisor with all financial records, tax documents, statements, and other information necessary for the performance of the services in a timely manner. The Advisor shall rely on the accuracy and completeness of the information provided by the Client without independent verification. The Client is responsible for the accuracy of all information submitted to tax authorities.

4. TERM AND TERMINATION

This Agreement shall commence on the effective date and shall continue until terminated by either party. Either party may terminate this Agreement at any time, with or without cause, by giving _____ days written notice to the other party. Upon termination, the Client shall pay the Advisor for all services rendered and expenses incurred up to the date of termination.

5. CONFIDENTIALITY AND DATA PROTECTION

The Advisor agrees to keep all financial and personal information obtained from the Client strictly confidential and shall not disclose such information to third parties without the Client's prior written consent, except as required by law or professional standards. The Advisor will implement reasonable administrative, technical, and physical safeguards to secure the Client's data.

6. LIMITATION OF LIABILITY

The Advisor's liability for any errors, omissions, or negligence in connection with the services provided under this Agreement shall be limited to the total amount of fees paid by the Client to the Advisor under this Agreement. In no event shall either party be liable for any consequential, indirect, or punitive damages.

7. GOVERNING LAW AND DISPUTE RESOLUTION

This Agreement shall be governed by and construed in accordance with the laws of the State of _____. Any dispute arising out of or relating to this Agreement shall be resolved through binding arbitration in _____ in accordance with the rules of the American Arbitration Association.

8. ENTIRE AGREEMENT

This Agreement constitutes the entire understanding between the parties regarding the subject matter hereof and supersedes all prior agreements, representations, or understandings, whether written or oral. No amendment or modification of this Agreement shall be valid unless in writing and signed by both parties.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first written above.

ADVISOR:

CLIENT:

Signature

Signature

Printed Name

Printed Name

Title

Title (if applicable)

Date

Date