

FINANCIAL PLANNING AND ADVISORY SERVICES AGREEMENT

This Financial Planning and Advisory Services Agreement (the "Agreement") is entered into and made effective as of _____ (the "Effective Date"), by and between:

Client: _____, residing at or having its principal place of business at _____ (hereinafter referred to as the "Client"), and

Advisor: _____, having its principal place of business at _____ (hereinafter referred to as the "Advisor").

WHEREAS, the Client desires to retain the Advisor to perform financial planning and advisory services, and the Advisor agrees to perform these services under the terms and conditions set forth in this Agreement.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained herein, the parties agree as follows:

1. Scope of Services

The Advisor shall provide the Client with financial planning, analysis, and advisory services. The specific scope of services includes, but is not limited to, the following:

1. _____
2. _____
3. _____

2. Fees and Compensation

In consideration for the services provided by the Advisor, the Client agrees to pay the Advisor as follows:

- **Fee Structure:** _____
- **Payment Terms:** Payments shall be due _____ upon receipt of the invoice.
- **Retainer:** The Client shall pay a non-refundable retainer fee of _____ upon execution of this Agreement.

3. Term and Termination

This Agreement shall commence on the Effective Date and shall continue _____ unless terminated earlier. Either party may terminate this Agreement at any time by providing _____ days written notice to the other party.

4. Confidentiality

The Advisor agrees to keep all financial information, personal data, and business documents provided by the Client strictly confidential and shall not disclose such information to any third party without the prior written consent of the Client, except as required by law.

5. Limitation of Liability

The financial planning and advisory services provided under this Agreement are based on information provided by

the Client and current market conditions. The Advisor does not guarantee any specific financial outcome, investment performance, or result. The Advisor shall not be held liable for any decisions, actions, or omissions made by the Client based on the advice provided.

6. Governing Law

This Agreement shall be governed by, construed, and enforced in accordance with the laws of the State of _____, without regard to its conflict of law principles.

7. Entire Agreement

This Agreement constitutes the entire understanding between the parties and supersedes all prior discussions, negotiations, and agreements, whether oral or written.

IN WITNESS WHEREOF, the parties hereto have executed this Financial Planning and Advisory Services Agreement as of the Effective Date written above.

CLIENT:

SIGNATURE

PRINTED NAME

TITLE

DATE

ADVISOR:

SIGNATURE

PRINTED NAME

TITLE

DATE